In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

Serial No. Unknown
Applicant: Chen et al.
Filing Date:Filed Concurrently
Group: Unknown
Atty. Docket No. AUS920031048US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
HCJ	AA	5,726,913	03/10/1998	Grimsrud	364 702	551.01 186	
HCJ	BB	6,467,052	10/15/2002	Kaler et al.	714	39	
HCJ	CC	6,507,809	01/14/2003	Yoshino et al.	703	21	
HCJ	DD	2002/0099669	07/25/2002	Lauer	705	80	
HCJ	EE	2003/0158884	08/21/2003	Alford, Jr.	709 718	104	
HCJ	FF	2003/0167461	09/04/2003	Stecher	717	151	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation Yes	No
	GG							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

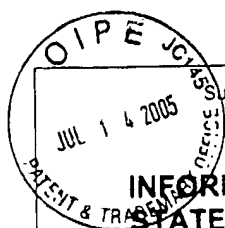| Examiner Initial		
HCJ	AS	S.Ranjan et al., *Qo-S-Driven Server Migration for Internet Data Centers*, IWQoS 2002 Submission #60, 2002.
HCJ	AT	K. Appleby et al., Oceano - SLA Based Management of a Computing Utility, 2001 IEEE, 2001.
	AU	
	AV	

Examiner: *Mary C. Jacob*   Date Considered: 2/23/07

EXAMINER: Initial if reference considered, whether or not citation is in conformance
with MPEP 609; Draw line through citation if not in conformance and not considered.
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Page 1 of 1

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known	
Application Number	10/815233
Filing Date	03/31/2004
First Named Inventor	YEN-FU CHEN
Examiner Name	ANTIONE ROYALL
Art Unit	3621
Attorney Docket No.	AUS920031048US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial		Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
[initials]	AA	INSPECT, AN 8025190, Manish Mahajan et al.; "Managing QoS for Multimedia Applications in the Differentiated Services Environment", Journal of Network and Systems Management, Vol. 11, pp. 469-498
[initials]	AB	INSPECT, AN 8082104, Nagarajan K V; et al., "Modelling and Simulation of an Alarm Based network management System for Effective SLA Monitoring and Management", SCI 2003. 7th World Multiconference on Systemics, Cybernetics and Informatics Proceedings, July 27-30, 2003, Orlando, FL
[initials]	AC	INSPECT, AN 7411882, Garg R, et al.; "A SLA Framework for QoS Provisioning and Dynamic Capacity Allocation", IEEE 2002 Tenth IEEE International Workshop on Quality of Service, May 15-17 2002, Miami Beach, FL
[initials]	AD	Statovci-Halimi B., et al.; "A Framework for Dynamic SLA Management Under Heterogeneous Traffic Conditions in MPLS Networks", Vienna University of Technology Institute of Communication Networks, Vienna, Austria, Vol. 22, pp. 219-226
[initials]	AE	Pulakka K.: "Controlling of Satisfaction of the End-Users and Profits of the ISPS in the DS Enabled Internet", Communication Systems, 2002. ICCS 2002, The 8th International Conference on Nov. 25-28, 2002, Piscataway, NJ, Vol. 1, pp. 138-144

Examiner: [signature]   Date Considered: 2/23/07

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